

# LAHIVE COCKFIELD

COUNSELLORS AT LAW
28 STATE STREET
BOSTON, MASSACHUSETTS 02109-1784
TELEPHONE (617) 227-7400
FAX (617) 742-4214
www.lahive.com

JOHN A. LAHIVE, JR. (1928-1997) THOMAS V. SMURZYNSKI GIULIO A. DeCONTI, JR. ELIZABETH A. HANLEY AMY BAKER MANDRAGOURAS ANTHONY A. LAURENTANO KEVIN J. CANNING JANE E. REMILLARD DeANN FORAN SMITH DEBRA J. MILASINCIC, Ph.D. WILLIAM A. SCOFIELD, JR. SIBLEY P. REPPERT DAVID R. BURNS JOHN S. CURRAN SEAN D. DETWEILER MEGAN E. WILLIAMS, Ph.D. USA DIROCCO TYNER HATHAWAY P. RUSSELL \*

MARIA LACCOTRIPE ZACHARAKIS, Ph.D.
MERIDETH C. ARNOLD
DANIELLE L. HERRITT
EUIHOON LEE \*\*
MANEESH GULATI
CYNTHIA M. SOROOS
PETER W. DINI, Ph.D.
MICHAEL J. BASTIAN, Ph.D.
CHRISTOPHER J. MCKENNA

SENIOR COUNSEL JAMES E. COCKFIELD

OF COUNSEL
JEREMIAH LYNCH
JEANNE M. DIGIORGIO
CYNTHIA L. KANIK, Ph.D.
JOHN D. LANZA

PATENT AGENTS
JONATHAN M. SPARKS, Ph.D
ANDRINA WILLIAMS ZINK
CRISTIN E. HOWLEY, Ph.D.
JILL ANN MELLO, Ph.D.
JAMES H. VELEMA \*\*\*

TECHNICAL SPECIALISTS
CATHERINE M. BISHOP
JACOB G. WEINTRAUB
DEBORAH L. NAGLE, Ph.D.
ANNE JACQUELINE WIZEMAN, Ph.D.
BRIAN C. TRINQUE, Ph.D.
CHRISTOPHER R. COWLES, Ph.D.
W. ELANA WANG

- \* Admitted in TX only
- \*\* Admitted in CT only
- \*\*\* Passed Patent Bar Exam

July 15, 2004

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Re:

U.S. Patent Application No.: 09/995519

For: Methods for Modulating T Cell Unresponsiveness

Inventors: Boussiotis, V.A., *et al.* Filed: November 28, 2001

Our Ref. No.: RPI-011CPCN

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

- 1. Information Disclosure Statement;
- 2. PTO Form 1449; and
- A Return Postcard.

No additional costs are believed to be due in connection with the filing of this Information Disclosure Statement. However, please charge any necessary fees in connection with the enclosed statement to our Deposit Order Account No. 12-0080. For this purpose, a duplicate of this sheet is attached.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450:

July 15, 2004

DeAnn F. Smith, Esq., Reg. No. 36,683

Date

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

DeAnn F. Smith, Esq. Registration No. 36,683

Attorney for Applicants



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Boussiotis, V.A., et al.

Serial No.: 09/995519

Filed: November 28, 2001

For: Methods for Modulating T Cell Unresponsiveness

Attorney Docket No.: RPI-011CPCN

Group Art Unit: 1644

Examiner: Phillip Gambel

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Certificate of First Class Mailing (37 CFR §1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on the date set forth below.

July 15, 2004

Date of Signature and of Mail Deposit

By:

DeAnn F. Smith, Esq. Registration No. 36,683

Attorney for Applicants

#### **INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Applicants and their Attorney are aware of the following patents, publications or other information, which are cited on the attached PTO Form 1449, and in accordance with 37 CFR §1.97 hereby submit these publications for the Examiner's consideration.

The present application is a Continuation Application of U.S. Serial No. 08/457483, filed June 1, 1995 (Atty. Docket No. RPI-011CP). All references listed on the enclosed PTO Form 1449 have been previously cited by or submitted to the Office in the prior application, and, in accordance with 37 CFR §1.98(d), copies of these references are not enclosed herewith, but will be provided upon request.

Serial Number: 10/995519 Page -2- Group Art Unit: 1644

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, Applicants understand that the Examiner will make an independent evaluation of the cited publications.

Under 37 CFR § 1.97(b)(3), no additional costs are believed to be due in connection with the filing of this disclosure. <u>If, however, a first Office Action on the merits issues in this application bearing a mailing date prior to the date of this Information Disclosure Statement</u>, please charge the appropriate fee as required under 37 CFR §1.17(p) to our Deposit Order Account No. 12-0080.

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

DeAnn F. Smith, Esq. Registration No. 36,683 Attorney for Applicants

28 State Street Boston, MA 02109 (617) 227-7400

Date: July 15, 2004

GAD/AEM/DFS/DLN/alf

S	hee	•	1 4	٦f	3

*I			Sheet 1 of 3	
APPSCANT FACSIMILE OF FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE	ATTY DOCKET NO	SERIAL NO.	
RES 7-80	PATENT AND TRADEMARK OFFICE	RPI-011CPCN	09/995519	
LIST OF PUBLICATIONS C	ITED BY APPLICANT	APPLICANT		
(Use several sheets	s if necessary)	Boussiotis, V.A., et al	<u>'</u>	
		FILING DATE	GROUP	
		November 28, 2001	1644	

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBÉR	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,116,964	5/26/92	Capon et al	536	27	
·	AB	5,434,131	7/18/95	Linsley et al.	514	2	

### FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS	LATION
						YES	NO
AC	WO 90/05541	5/31/90	PCT				
AD	WO 91/11194	8/8/91	PCT				
AE	WO 92/00092	1/9/92	PCT				
AF	WO 92/15671	9/17/92	PCT				
AG	0503646 A1	12/3/92	EPO				
 АН	WO 93/00431	1/7/93	PCT				
AI	WO 93/06852	4/15/93	PCT	·			
AJ	WO 93/06866	4/15/93	PCT				

OTHERS (including Author, Title, Date, Pertinent Pages, Etc.)

AK	79 (1993);				
AL	Bell, G. and Imboden, J., "CD2 and the Regulation of T Cell Anergy," <i>The Journal of Immunology</i> , 2805-2807 (1995);				
AM		Beverly, B., et al., "Reversal of in Vitro T Cell Clonal Anergy by IL-2 Stimulation," <i>International Immunology</i> , vol. 4, no. 6, 661-671 (1992);			
AN		Bierer, B., et al., "Interaction of CD2 with its Ligand, LFA-3, in Human T Cell Proliferation," <i>The Journal of Immunology,</i> vol. 140, no. 10, 3358-3363 (1988);			
AO		Bierer, B., et al., "Synergistic T Cell Activation Via the Physiological Ligands for CD2 and the T Cell Receptor," <i>J. Exp. Med.,</i> vol. 168, 1145-1156 (1988);			
Boussiotis, V., et al., "B7 but not Intercellular Adhesion Molecule-1 Costimulation Prevents Induction of Human Alloantigen-specific Tolerance," <i>J. Exp. Med.</i> , vol. 178, 1753-1763 (19					
AQ	Boussiotis, V., et al., "CD2 is Involved in Maintenance and Reversal of Human Alloantigenspecific Clonal Anergy," <i>J. Exp. Med.</i> , vol. 180, 1665-1673 (1994);				
AR		Boussiotis, V., et al., "Human Alloantigen Specific Clonal Anergy to Lymphoblastoid Cells is Reversed Following Culture with IL-2 or IL-4," <i>Blood</i> , vol. 82, 304A (1993);			
AS	Brottier, P., et al., "T Cell Activation Via CD2 [T, gp50] Molecules: Accessory Cells are Requi to Trigger T Cell Activation Via CD2-D66 Plus CD2-9.6/T11, Epitopes," <i>The Journal of Immunology</i> , vol. 135, no. 3, 1624-1631 (1985);				
Examiner	•	Date Considered			
*EXAMINER		Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

C.	eet	ຳ	-4	2

2	<u> </u>			Sheet 2	of 3
PPE REVE	OANT FACSIMILE OF FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO	. SERIAL NO.	
Š/	ONT FACSIMILE OF FORM PTO-1449		RPI-011CPCN	09/995519	
	LIST OF PUBLICATIONS	CITED BY APPLICANT	APPLICANT		
	(Use several shee	ts if necessary)	Boussiotis, V.A., et a	a <i>l</i>	
			FILING DATE	GROUP	
			November 28, 2001	1644	

OTHERS (including Author, Title, Date, Pertinent Pages, Etc.)

	OTHERS (including Author, Title, Date, Pertinent Pages, Etc.)		
ВА	Dustin, M., et al., "Anchoring Mechanisms for LFA-3 Cell Adhesion Glycoprotein at Membrane Surface," <i>Nature</i> , vol. 329, 846-848 (1987);		
ВВ	Freedman, A., et al., "B7, a B Cell-Restricted Antigen that Identifies Preactivated B Cells," Division of Tumor Immunology, Dana-Farber Cancer Institute and the Department of Medicine, 3260-3267 (1987);		
ВС	Freeman, G., et al., "B7, a new Member of the Ig Superfamily with Unique Expression on Activated and Neoplastic B Cells," <i>The Journal of Immunology</i> , vol. 143, no. 8, 2714-2722 (1989);		
BD	Freeman, G., et al., "Cloning of B7-2: A CTLA-4 Counter-Receptor that Costimulates Human T Cell Proliferation," <i>Science</i> , vol. 262, 909-911 (1993);		
BE	Freeman, G., et al., "Murine B7-2, an Alternative CTLA4 Counter-receptor that Costimulates T Cell Proliferation and Interleukin 2 Production," <i>The Journal of Experimental Medicine</i> , vol. 178, 2185-2192 (1993);		
BF	Freeman, G., et al., "Structure, Expression, and T Cell Costimulatory Activity of the Murine Homologue of the Human B Lymphocyte Activation Antigen B7," <i>J. Exp. Med.</i> , vol. 174, 625-631 (1991);		
BG	Freeman, G., et al., "Uncovering of Functional Alternative CTLA-4 Counter-Receptor in B7- Deficient Mice," <i>Science</i> , vol. 262, 907-909 (1993);		
вн	Gimmi, C., et al., "B-cell Surface Antigen B7 Provides a Costimulatory Signal that Induces T Cells to Proliferate and Secrete Interleukin 2," <i>Proc. Natl. Acad. Sci. USA</i> , vol. 88, 6575-6579 (1991);		
ВІ	Gimmi, C., et al., "Human T-cell Clonal Anergy is Induced by Antigen Presentation in the Absence of B7 Costimulation," <i>Proc. Natl. Acad. Sci. USA</i> , vol. 90, 6586-6590 (1993);		
ВЈ	Harding, F., et al., "CD28-mediated Signaling Co-stimulates Murine T Cells and Prevents Induction of Anergy in T-cell Clones," <i>Nature</i> , vol. 356, 607-609 (1992);		
ВК	Hathcock, K., et al., "Identification of an Alternative CTLA-4 Ligand Costimulatory for T Cell Activation," <i>Science</i> , vol. 262, 905-907 (1993);		
BL			
ВМ	Lenschow, D., et al., "Long-Term Survival of Xenogeneic Pancreatic Islet Grafts Induced by CTLA4Ig," Science, vol. 257, 789-791 (1992);		
BN	Lin, H., et al., "Long-Term Acceptance of Major Histocompatibility Complex Mismatched Cardiac Allografts Induced by CTLA4Ig Plus Donor-specific Transfusion," <i>J. Exp. Med.</i> , vol.178, 1801-1806 (1993);		
во	Linsley, P., et al., "Binding of the B Cell Activation Antigen B7 to CD28 Costimulates T Cell Proliferation and Interleukin 2 mRNA Accumulation," <i>J. Exp. Med.</i> , vol. 173, 721-730 (1991);		
BP	Linsley, P., et al., "Immunosuppression in Vivo by a Soluble Form of the CTLA-4 T Cell Activation Molecule," <i>Science</i> , vol. 257, 792-795 (1992);		
BQ	Meuer, S., et al., "An Alternative Pathway of T-Cell Activation: A Functional Role for the 50 kd T11 Sheep Erythrocyte Receptor Protein," <i>Cell</i> , vol. 36, 897-906 (1984);		
BR	Moingeon, P., et al., "CD2-mediated Adhesion Facilitates T Lymphocyte Antigen Recognition Function," <i>Nature</i> , vol. 339, 312-314 (1989);		
Examiner	Date Considered		
*EXAMINER	Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

Sheet 3 of 3

APPLICANT FACSIMILE OF FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE
REV LIST OF PUBLICATIONS CITED BY APPLICANT
(Use several sheets if necessary)

APPLICANT
Boussiotis, V.A., et al.

FILING DATE
November 28, 2001

SERIAL NO.

99/995519

APPLICANT
BOUSSIOTIS, V.A., et al.

FILING DATE
November 28, 2001

OTHERS (including Author, Title, Date, Pertinent Pages, Etc.)

CA	Pepinsky, R., et al., "The Increased Potency of Cross-linked Lymphocyte Function-associated Antigen-3 (LFA-3) Multimers is a Direct Consequence of Changes in Valency," <i>The Journal of Biological Chemistry</i> , vol. 266, no. 27, 18244-18249 (1991);
СВ	Seed, B., "An LFA-3 cDNA Encodes a Phospholipid-linked Membrane Protein Homologous to its Receptor CD2," <i>Nature</i> , vol. 329, 840-842 (1987);
СС	Selvaraj, P., et al., "The T Lymphocyte Glycoprotein CD2 Binds the Cell Surface Ligand LFA-3," <i>Nature</i> , vol. 326, 400-403 (1987);
CD	Tan, P., et al., "Induction of Alloantigen-specific Hyporesponsiveness in Human T Lymphocytes by Blocking Interaction of CD28 with its Natural Ligand B7/BB1," <i>J. Exp. Med.</i> , vol. 177, 165-173 (1993);
CE	Van Gool, S., et al., "The Combination of Anti-B7 Monoclonal Antibody and Cyclosporin A Induces Alloantigen-specific Anergy During a Primary Mixed Lymphocyte Reaction," <i>J. Exp. Med.</i> , vol. 179, 715-720 (1994);
CF	Wallner, B., et al., "Primary Structure of Lymphocyte Function-Associated Antigen 3 (LFA-3) The Ligand of the T Lymphocyte CD2 Glycoprotein," <i>Journal of Experimental Medicine</i> , vol. 166, 923-932 (1987);
CG	Yang, S., et al., "A Common Pathway for T Lymphocyte Activation Involving Both the CD3-Ti Complex and CD2 Sheep Erythrocyte Receptor Determinants," <i>The Journal of Immunology</i> , vol. 137, no. 4, 1097-1100 (1986).
СН	
. CI	
a	
СК	
CL	
СМ	
CN	
СО	
СР	
CQ	
CR	
CS	
Examiner	Date Considered
*EXAMINER	Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.